

Metric Spaces and Topology

Lecture 26

However, for first countable spaces, compactness \Rightarrow sequential compactness. This and the following other properties listed below are left for homework.

Properties of 1st-countable spaces. Let X be a 1st-countable top. space.

- (a) Each pt. $x \in X$ admits a countable decreasing neighb. basis, i.e. a basis $(B_n)_{n \in \mathbb{N}}$ s.t. $B_n \supseteq B_{n+1} \quad \forall n \in \mathbb{N}$.
- (b) For any $Y \subseteq X$ and $x \in X$, $x \in \overline{Y} \Leftrightarrow \exists (y_n) \subseteq Y$ converging to x .
- (c) IF $(x_n) \subseteq X$ doesn't have a convergent subsequence, then the set $\{x_n : n \in \mathbb{N}\}$ is closed.
- (d) IF X is compact, then it is sequentially compact.

We now prove:

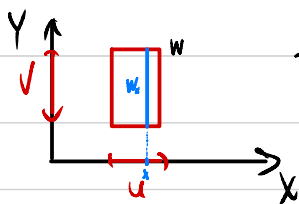
Tychonoff's Theorem (AC). Any product (possibly uncountable) of compact top spaces is compact (in the product top).

To motivate the proof, let's try proving that the product $X \times Y$ of compact spaces X, Y is compact. Let \mathcal{W} be an open cover of $X \times Y$. Firstly, we may assume WLOG that \mathcal{W} consists of open rectangles $U \times V$, where $U \subseteq X, V \subseteq Y$ open.

Then $\mathcal{W}_X := \{U \subseteq X : \exists V \subseteq Y \text{ s.t. } U \times V \in \mathcal{W}\},$

$\mathcal{W}_Y := \{V \subseteq Y : \exists U \subseteq X \text{ s.t. } U \times V \in \mathcal{W}\}.$

It follows that \mathcal{W}_X and \mathcal{W}_Y are open covers of X and Y , resp., so \exists finite subcovers $\mathcal{W}'_X \subseteq \mathcal{W}_X$ and $\mathcal{W}'_Y \subseteq \mathcal{W}_Y$.



It's clear that $\mathcal{W}'_X \times \mathcal{W}'_Y$ is a finite cover of $X \times Y$, but it may not be contained in \mathcal{W} .

Another idea (Hayk Karapetyan): for each pt. $x \in X$,

\mathcal{W} is an open cover of $\{x\} \times Y$, and $\{x\} \times Y$ is

compact as it is homeomorphic to Y . Thus, \exists finite subcover

$\mathcal{W}_x \in \mathcal{W}$ of $\{x\} \times Y$. Let $U_x := \bigcap_{W \in \mathcal{W}_x} \text{proj}_X W$. Then $\{U_x : x \in X\}$

is an open cover of X , so \exists finite subcover

$U_{x_1}, U_{x_2}, \dots, U_{x_n}$. Then $\bigcup_{i=1}^n \mathcal{W}_{x_i}$ is a cover of $X \times Y$,

and a subset of \mathcal{W} . This proof also works for finite

products $X_1 \times X_2 \times \dots \times X_n$ since the role of Y will be played by X_n and the role of X will be played by $X_1 \times X_2 \times \dots \times X_{n-1}$, which is compact by induction.

However for infinite products this would work.

First a remark on covers and refinements.

Def. For a cover \mathcal{U} , a cover \mathcal{V} is called a **refinement** of \mathcal{U} (or \mathcal{U} is called a **coarsening** of \mathcal{V}) if $\forall v \in \mathcal{V} \exists u \in \mathcal{U}$ s.t. $v \subseteq u$.

Obs. If a cover \mathcal{V} refines \mathcal{U} and \mathcal{V} admits a finite subcover, then so does \mathcal{U} .

Cor. A top. sp. X is compact \Leftrightarrow every basic open cover has a finite subcover, more precisely, for some basis \mathcal{B} , every cover $\mathcal{U} \subseteq \mathcal{B}$ of X has a finite subcover.

Proof. \Leftarrow . Given any open cover \mathcal{U} , there is a refinement $\mathcal{U}_{\mathcal{B}} \subseteq \mathcal{B}$, indeed, take $\mathcal{U}_{\mathcal{B}} := \{ B \in \mathcal{B} : \exists u \in \mathcal{U} B \subseteq u \}$. Since $\mathcal{U}_{\mathcal{B}}$ has a fin. subcover, so does \mathcal{U} by the Obs. above. \square

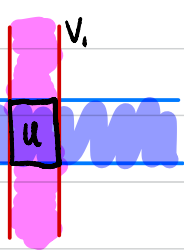
Note \mathcal{U} in the case $X \times Y$ above, if we could assume

Let \mathcal{W} consist of cylindrical sets $U \times V$ of $X \times V$, then either the U 's would form an cover of X or the V 's would form a cover of Y (otherwise \mathcal{W} isn't a cover). Either way \mathcal{W} admits a finite subcover.

Alexander's prebasis lemma (AL). A top. sp. X is compact \Leftrightarrow any prebasic open cover has a finite subcover, more precisely, for some prebasis \mathcal{P} , any cover $\mathcal{U} \subseteq \mathcal{P}$ has a finite subcover.

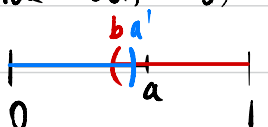
Proof \Leftarrow . Fix a prebasis \mathcal{P} and let $\mathcal{B}_{\mathcal{P}}$ be the basis generated by \mathcal{P} , i.e. $\mathcal{B}_{\mathcal{P}}$ is the collection of finite intersections of sets in \mathcal{P} . It's enough to show that any cover $\mathcal{U} \subseteq \mathcal{B}_{\mathcal{P}}$ has a finite subcover. Suppose \exists such $\mathcal{U} \subseteq \mathcal{B}_{\mathcal{P}}$ with no finite subcover. By Zorn's lemma,

HW Let $\mathcal{U} \subseteq \mathcal{B}_{\mathcal{P}}$ be an inclusion-maximal cover with no finite subcover. Then $\mathcal{U} \cup \mathcal{P}$ is not a cover of X ,

$u \in \mathcal{U}$  v_1 so $\exists u \in \mathcal{U}$ s.t. $\exists v \in \mathcal{U} \cup \mathcal{P}$ containing u .
But $u = v_1 \cap v_2 \cap \dots \cap v_n$ with $v_i \in \mathcal{P}$, so by the maximality of \mathcal{U} , for each i , the cover $\mathcal{U} \cup \{v_i\}$ has a finite subcover $\mathcal{U} \cup \{v_i\}$.

This U_i covers V_i^c , so $\bigcup_{i=1}^n U_i$ covers $\bigcup_{i=1}^n V_i^c = \left(\bigcap_{i=1}^n V_i\right)^c = U^c$,
 so $\{U\} \cup \bigcup_{i=1}^n U_i$ is a finite subcover of \mathcal{U} . \square

Easy application. Let's reprove that $[0, 1]$ is compact.

The intervals of the form $[0, a)$ and $(b, 1]$ form a prebasis, so take a cover \mathcal{U} of $[0, 1]$ with such intervals. Let $a := \sup \{a' \in [0, 1] : [0, a') \in \mathcal{U}\}$. Then $a \in [0, 1]$ so some $U \in \mathcal{U}$ has to cover it and by def, it can't be of the form $[0, a')$ because then $a' > a$, contradicting the def of a . Thus, $U = (b, 1]$ and $b < a$. But then $\exists a'$  $b < a' < a$ s.t. $[0, a') \in \mathcal{U}$, so $\{[0, a'), (b, 1]\}$ is a finite subcover of \mathcal{U} . \square

Proof of Tychonoff's theorem. By Alexander's lemma, it's enough to take a cover \mathcal{W} of $X := \prod_{i \in I} X_i$ with sets of the form $[i \mapsto U_i]$, where $i \in I$, $U_i \subseteq X_i$ open. We first note that $\exists i_0 \in I$ s.t. $\mathcal{W}_{i_0} := \{U \in X_{i_0} : [i_0 \mapsto U] \in \mathcal{W}\}$ covers X_{i_0} ; indeed, otherwise by AC $\exists x := (x_i)_{i \in I}$ s.t. $x_i \in X_i \setminus \bigcup \mathcal{W}_i$, but then $x \notin \bigcup \mathcal{W}$ because if $x \in [i \mapsto U_i] \in \mathcal{W}$, then $U_i \in \mathcal{W}_i$ and $x_i \notin U_i$ so $x \notin [i \mapsto U_i]$. Since X_{i_0} is compact,

\mathcal{W}_{i_0} has a finite subcover \mathcal{W}'_{i_0} so $\{[i_0, \rightarrow] : U \in \mathcal{W}'_{i_0}\}$ is a subset of \mathcal{W} and it covers X .

